

Gaoyu Securities Limited is licensed to carry on Type 1 & 9 regulated activity under the SFO (CE No.: AAE696).  
 高裕證券有限公司根據證券及期貨條例獲發牌經營第 1 及第 9 類受規管活動(證券交易)(中央編號: AAE696)  
 Unit 4409, 44/F Cosco Tower, 183 Queen's Road Central, Hong Kong 香港皇后大道中 183 號中遠大廈 44 樓 4409 室  
 Website: [www.gysec.com](http://www.gysec.com); TEL: (852) 2877 3188

**ACCOUNT OPENING FORM 開戶表格 (Joint 聯名)**

<b>Company 公司</b>	<b>Account Type 帳戶類型</b>		
	<input type="checkbox"/> Hong Kong Securities Account (Margin) 香港證券帳戶(保證金)	<input type="checkbox"/> Cash Account 現金帳戶 HKD	
	<input type="checkbox"/> US Securities Account (Margin) 美國證券帳戶(保證金)	<input type="checkbox"/> Cash Account 現金帳戶 USD	
<b>Joint Account Information 聯名帳戶資料</b>			
<b>Primary Applicant 第一申請人</b>		<b>Secondary Applicant 第二申請人</b>	
Salutation 稱謂: <input type="checkbox"/> Mr.先生 <input type="checkbox"/> Ms.女士		Salutation 稱謂: <input type="checkbox"/> Mr.先生 <input type="checkbox"/> Ms.女士	
Surname 姓:	Given name 名:	Surname 姓:	Given name 名:
Identity Document Type 證件類型: <input type="checkbox"/> ID Card 身份證 <input type="checkbox"/> Passport 護照		Identity Document Type 證件類型: <input type="checkbox"/> ID Card 身份證 <input type="checkbox"/> Passport 護照	
Place of Issue 證件簽發地:		Place of Issue 證件簽發地:	
ID Card / Passport No.: 身份證 / 護照號碼:		ID Card / Passport No.: 身份證 / 護照號碼:	
Surname 姓:	Given name 名:	Surname 姓:	Given name 名:
Identity Document Type 證件類型: <input type="checkbox"/> ID Card 身份證 <input type="checkbox"/> Passport 護照		Identity Document Type 證件類型: <input type="checkbox"/> ID Card 身份證 <input type="checkbox"/> Passport 護照	
Place of Issue 證件簽發地:		Place of Issue 證件簽發地:	
ID Card / Passport No.: 身份證 / 護照號碼:		ID Card / Passport No.: 身份證 / 護照號碼:	
Place of Birth: 出生地點:	Nationality: 國籍:	Place of Birth: 出生地點:	Nationality: 國籍:
Date of Birth 出生日期:		Date of Birth 出生日期:	
Gender 性別: <input type="checkbox"/> Male 男 <input type="checkbox"/> Female 女		Gender 性別: <input type="checkbox"/> Male 男 <input type="checkbox"/> Female 女	
Residential Address: 身份證 / 居住地址:		Residential Address: 身份證 / 居住地址:	
Mobile Phone No. 手提電話號碼:		Mobile Phone No. 手提電話號碼:	
Education Level 教育程度: <input type="checkbox"/> Primary and below 小學或以下 <input type="checkbox"/> High/Junior High School 中學 <input type="checkbox"/> College Degree/Diploma 大專 <input type="checkbox"/> Bachelor Degree 本科 <input type="checkbox"/> Master degree and above 碩士及以上		Education Level 教育程度: <input type="checkbox"/> Primary and below 小學或以下 <input type="checkbox"/> High/Junior High School 中學 <input type="checkbox"/> College Degree/Diploma 大專 <input type="checkbox"/> Bachelor Degree 本科 <input type="checkbox"/> Master degree and above 碩士及以上	
Mailing Address: 郵寄地址:			
E-mail Address: 電子郵件地址:			
Correspondence and Statements to be sent to: (please select either one) 書信及帳單請寄交本人(只選一項) :			
<input type="checkbox"/> Email 電子郵箱		<input type="checkbox"/> Residential Address 身份證/居住地址	
		<input type="checkbox"/> Business Address 商業地址	

Career Information 職業信息			
Employment Type 職業性質: <input type="checkbox"/> Employed 在職 <input type="checkbox"/> Self-employed 自僱 <input type="checkbox"/> Retired 退休 <input type="checkbox"/> Student 學生 <input type="checkbox"/> Homemaker 家庭主婦/主夫 <input type="checkbox"/> Unemployed 待業		Employment Type 職業性質: <input type="checkbox"/> Employed 在職 <input type="checkbox"/> Self-employed 自僱 <input type="checkbox"/> Retired 退休 <input type="checkbox"/> Student 學生 <input type="checkbox"/> Homemaker 家庭主婦/主夫 <input type="checkbox"/> Unemployed 待業	
Name of Employer of Account Holder (1): 客戶受僱機構名稱:		Name of Employer of Account Holder (2): 客戶受僱機構名稱:	
Nature of Business: 業務性質:	Position: 職位:	Nature of Business: 業務性質:	Position: 職位:
Years of Service: 服務年資:		Years of Service: 服務年資:	
Office Address: 辦公室地址:		Office Address: 辦公室地址:	
Financial Information 財務資料			
Source of Funds 資金來源: <input type="checkbox"/> Salary 薪金 <input type="checkbox"/> Commission 佣金 <input type="checkbox"/> Business Profit 業務溢利 <input type="checkbox"/> Dividend / Interest 股息 <input type="checkbox"/> Household Income 家用 <input type="checkbox"/> Saving 儲蓄 <input type="checkbox"/> Retirement Pension 退休金 <input type="checkbox"/> Mortgage / Loan 按揭/ 借貸 <input type="checkbox"/> Others 其他_____		Source of Funds 資金來源: <input type="checkbox"/> Salary 薪金 <input type="checkbox"/> Commission 佣金 <input type="checkbox"/> Business Profit 業務溢利 <input type="checkbox"/> Dividend / Interest 股息 <input type="checkbox"/> Household Income 家用 <input type="checkbox"/> Saving 儲蓄 <input type="checkbox"/> Retirement Pension 退休金 <input type="checkbox"/> Mortgage / Loan 按揭/ 借貸 <input type="checkbox"/> Others 其他_____	
Annual Income 每年收入 (HK\$): <input type="checkbox"/> <\$200,000 <input type="checkbox"/> \$200,001 ~ \$500,000 <input type="checkbox"/> \$500,001 - \$1,000,000 <input type="checkbox"/> \$1,000,001 - \$5,000,000 <input type="checkbox"/> >\$5,000,000		Annual Income 每年收入 (HK\$): <input type="checkbox"/> <\$200,000 <input type="checkbox"/> \$200,001 ~ \$500,000 <input type="checkbox"/> \$500,001 - \$1,000,000 <input type="checkbox"/> \$1,000,001 - \$5,000,000 <input type="checkbox"/> >\$5,000,000	
Asset Type 資產項目: <input type="checkbox"/> Deposits 存款 <input type="checkbox"/> Listed Securities 證券 <input type="checkbox"/> Foreign Exchange 外匯 <input type="checkbox"/> Property 房產 <input type="checkbox"/> Bonds/Funds 債券/基金 <input type="checkbox"/> Others 其他:_____		Asset Type 資產項目: <input type="checkbox"/> Deposits 存款 <input type="checkbox"/> Listed Securities 證券 <input type="checkbox"/> Foreign Exchange 外匯 <input type="checkbox"/> Property 房產 <input type="checkbox"/> Bonds/Funds 債券/基金 <input type="checkbox"/> Others 其他:_____	
Total Net Value of Assets 資產淨值 (HK\$): <input type="checkbox"/> < \$500,000 <input type="checkbox"/> \$500,001-\$2,500,000 <input type="checkbox"/> 2,500,001-\$5,000,000 <input type="checkbox"/> > HK\$5,000,000		Total Net Value of Assets 資產淨值 (HK\$): <input type="checkbox"/> < \$500,000 <input type="checkbox"/> \$500,001-\$2,500,000 <input type="checkbox"/> 2,500,001-\$5,000,000 <input type="checkbox"/> > HK\$5,000,000	
Client Investment Experience and Objective 客戶投資經驗及目標			
Investment Objective 投資目的: <input type="checkbox"/> Preservation of Capital 保守性投資 <input type="checkbox"/> Income 收入 <input type="checkbox"/> Growth 增長 <input type="checkbox"/> Trading Profits 交易收益 <input type="checkbox"/> Speculation 投機買賣 <input type="checkbox"/> Hedging 對沖 <input type="checkbox"/> Others _____		Investment Objective 投資目的: <input type="checkbox"/> Preservation of Capital 保守性投資 <input type="checkbox"/> Income 收入 <input type="checkbox"/> Growth 增長 <input type="checkbox"/> Trading Profits 交易收益 <input type="checkbox"/> Speculation 投機買賣 <input type="checkbox"/> Hedging 對沖 <input type="checkbox"/> Others _____	
Risk Tolerance 風險承受能力: <input type="checkbox"/> Low 低 <input type="checkbox"/> Medium 中等 <input type="checkbox"/> High 高		Risk Tolerance 風險承受能力: <input type="checkbox"/> Low 低 <input type="checkbox"/> Medium 中等 <input type="checkbox"/> High 高	
Number of Transactions per month 每月交易次數: <input type="checkbox"/> 0 transactions / month 0 筆交易/月 <input type="checkbox"/> 1-10 transactions / month 1-10 筆交易/月 <input type="checkbox"/> 11-25 transactions / month 11-25 筆交易/月 <input type="checkbox"/> 26-50 transactions / month 26-50 筆交易/月 <input type="checkbox"/> 51-100 transactions / month 51-100 筆交易/月 <input type="checkbox"/> > 100 transactions / month > 100 筆交易/月		Number of Transactions per month 每月交易次數: <input type="checkbox"/> 0 transactions / month 0 筆交易/月 <input type="checkbox"/> 1-10 transactions / month 1-10 筆交易/月 <input type="checkbox"/> 11-25 transactions / month 11-25 筆交易/月 <input type="checkbox"/> 26-50 transactions / month 26-50 筆交易/月 <input type="checkbox"/> 51-100 transactions / month 51-100 筆交易/月 <input type="checkbox"/> > 100 transactions / month > 100 筆交易/月	
Investment Products and Investment Experience (Years): 買賣產品及投資經驗 (年): Stock 股票: <input type="checkbox"/> < 1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> > 10 Index Options /Futures 指數期權/期貨: <input type="checkbox"/> < 1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> > 10 Warrants / Stock Options 認股證/股票期權: <input type="checkbox"/> < 1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> > 10 FX/Bullion 外幣/黃金: <input type="checkbox"/> < 1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> > 10 Structured Investment Products 結構性產品: <input type="checkbox"/> < 1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> > 10		Investment Products and Investment Experience (Years): 買賣產品及投資經驗 (年): Stock 股票: <input type="checkbox"/> < 1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> > 10 Index Options /Futures 指數期權/期貨: <input type="checkbox"/> < 1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> > 10 Warrants / Stock Options 認股證/股票期權: <input type="checkbox"/> < 1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> > 10 FX/Bullion 外幣/黃金: <input type="checkbox"/> < 1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> > 10 Structured Investment Products 結構性產品: <input type="checkbox"/> < 1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 6-10 <input type="checkbox"/> > 10	

**CLIENT'S KNOWLEDGE OF DERIVATIVE / COMPLEX PRODUCTS 客戶對衍生/複雜的產品的認識**

1. Do you want to trade derivatives? If yes, please answer the second question 您們是否有意進行衍生品的買賣？若是，請回答第 2 個問題

Yes 是  No 否

2. Do you have any relevant trading experience in the following derivatives? 您們是否有以下衍生品相關經驗？

a) The Client underwent training or attended courses on derivative / complex products; and/or

客戶曾接受有關衍生/複雜產品的培訓或修讀相關課程；及/或

b) The Client have current or previous work experience related to derivative / complex products; and/or

客戶現時或過去擁有與衍生/複雜產品有關的工作經驗；及/或

c) The Client have executed five or more transactions within the past three years in derivative products, e.g. Derivative Warrants, Callable Bull/Bear Contracts, Stock Options, Futures and Options, Commodities, Structured Products, and Exchange Traded Funds, etc.

客戶於過去 3 年曾執行 5 次或以上有關衍生產品的交易，例如：衍生權證、牛熊證、股票期權、期貨及期權、商品、結構性產品及交易所買賣基金等。

We have the above experience and/or knowledge on derivative product(s).

吾等有上述一個或以上的經驗。

We do not have the above experience and/or knowledge on derivative product(s), but we confirm that we fully read, agreed and understood the relevant risks of the derivative product(s). We understand that we have to acquire enough understanding on derivative product(s) before trading them and we fully accept all relevant risks.

吾等沒有上述經驗，但已細閱、同意及明白所有關於衍生產品的風險聲明，吾等明白於買賣有關衍生產品前必須先具備足夠的認識，並願意接受一切有關的風險。

Gaoyu Securities Limited has accessed the Client based on the information provided above, and have notified the Client that it is compulsory to understand the derivative products before trading them. Gaoyu Securities Limited has also warned the Client about the nature and risk of the derivative products.

高裕證券有限公司已根據以上的資料對客戶進行評估，並已通知客戶買賣有關衍生產品前必須先具備足夠的認識，及提示客戶有關衍生產品之性質及風險。

**Identity Declaration 身份聲明**

1. The Client is the ultimate and sole beneficial owner(s) of the Account(s) and is fully responsible for all instructions for the operation of the said Account(s)? 客戶是帳戶的最終及唯一實益擁有人，並完全負責為該(等)帳戶運作所發出的一切指示？

Yes 是  No 否 If not, please specify the ultimate beneficial owner(s) 如不是，請披露最終權益人。

Name 姓名: \_\_\_\_\_ ID/Passport No. 身份證/護照號碼 \_\_\_\_\_ Phone No.電話: \_\_\_\_\_

Address 地址: \_\_\_\_\_

2. Are you the director or an employee or an accredited person of a HKEX Exchange Participant or SFC's licensed or registered person? 客戶是否聯合交易所參與者或證監會持牌或註冊人之董事、僱員或認可人士？

Yes 是  No 否 (If yes, please specify 如果是，請述明具體：Licensed Corporation/Registered Institution 持牌法團/註冊機構名稱：

\_\_\_\_\_ Position 職位: \_\_\_\_\_ CE No.中央編號: \_\_\_\_\_

(Please provide employer's consent letter 請提供僱主之書面同意書)

3. Does the Client has any relationship with the director(s) or employee(s) of Gaoyu Securities Limited or their respective associated companies? 客戶是否與高裕證券有限公司或其各自之聯營公司之董事或僱員有任何親戚關係？

Yes 是  No 否 (If yes, please specify 如果是，請述明具體：Name of Director or Employee 董事或僱員姓名: \_\_\_\_\_

Relationship 關係: \_\_\_\_\_)

4. Are you a US citizen or resident for US for tax purposes or have any relationship with US (e.g born in US, have any US residential/ correspondence address (include US post office box) or telephone number)? 客戶是否美國公民或就稅務目的而言的居民或跟美國有什麼關係（例如在美國出生，擁有美國的住址/通訊地址（包括美國郵政信箱）或美國電話號碼？

Yes 是  No 否

5. Does any party within the account has any related margin financing account with Gaoyu Securities Limited ?

賬戶的任何一方是否在本公司開有任何相關保證金融資帳戶？

Yes 是  No 否 (If yes, please specify 如果是，請述明具體: Name of the Party 姓名: \_\_\_\_\_

Account No.帳戶號碼: \_\_\_\_\_)

6. The Client, either alone or with his/her spouse, is/are not in control of 35% or more of the voting rights of another margin client of Gaoyu Securities Limited .? 客戶是否有單獨或與配偶共同控制高裕證券有限公司其他保證金帳戶持有人35%或以上之表決權。

Yes 是  No 否 (If yes, please specify 如果是，請述明具體: Account No. 帳戶號碼: \_\_\_\_\_)

Politically Exposed Persons 政治人物

7. Are you linked to politically exposed persons (“PEP”)? 客戶是否與政治人物\* 有聯繫

Yes 是 The Clients declare and confirm that he/she is a PEP\*, and details are provided below: 客戶聲明及確認其乃政治人物 \*，詳情如下：

Name of person entrusted with public function 擔任公職人士之姓名: \_\_\_\_\_

Relationship with Clients 與客戶關係:  Self 本人  Others 其他(please specify 請註明): \_\_\_\_\_

Position 職位: \_\_\_\_\_ Name of the public body 公共機構名字: \_\_\_\_\_

Country 國家: \_\_\_\_\_ Year of Service 服務年期: \_\_\_\_\_

No 否 The Clients declare and confirm that he/she is not a PEP\*. 客戶聲明及確認其並不是政治人物 \*。

\* Politically Exposed Person (“PEP”) means \*政治人物指:

- a) an individual who is or has been entrusted with a prominent public function (including a head of state, head of government, senior politician, senior government, judicial or military official, senior executive of a state-owned corporation or an important political party official, but not including any middle-ranking or more junior officials); 擔任或曾經擔任重要公職的個人(包括國家元首、政府首長、資深從政者、高級政府、司法或軍事官員、國有企業高級行政人員及重要政黨幹事，但不包括任何中級或更低級官員);
- b) a spouse, partner, child or parent of an individual falling within paragraph (a), or a spouse or partner of a child of such an individual; or (a)段所指的個人配偶、伴侶、子女或父母、或該個人的子女的配偶或伴侶; 或
- c) a “close associate” of an individual falling within paragraph (a). 與(a)段所指的個人關係密切的人。
- “close associate”: a person is a close associate of an individual if the person is: (i) an individual who has close business relations with the first-mentioned individual, including an individual who is a beneficial owner of a legal person or trust of which the first-mentioned individual is also a beneficial owners; or (ii) an individual who is the beneficial owner of a legal person or trust that is set up for the benefit of the first-mentioned individual. “關係密切的人”: 如某人符合以下說明，該人即屬與某個人(首述個人)關係密切的人: (i) 該人是與首述個人有密切業務關係的個人(包括在首述個人屬某法人或信託的實益擁有人的情況下，同樣屬該法人或信託的實益擁有人的個人); 或(ii) 該人是屬某法人或信託的實益擁有人的個人，而該法人或信託是為首述個人的利益而成立的。

8. Is the nature of your business particularly susceptible to money laundering risk? (For example, money changer or casino business that handles large amount of cash) 客戶的業務性質是否特別容易蒙受較高的洗黑錢風險? (舉例: 如會接觸大量現金往來, 找換業或博彩業)

Yes 是  No 否 (If yes, please specify 如果是, 請述明具體: 請述明具體 please specify: \_\_\_\_\_)

Receive Direct Sales Marketing Materials 接收直銷推廣

The Client consent Gaoyu Securities Limited to use his/her personal data in direct marketing via the following channel(s) (please use “√” to select the channel(s):-

客戶同意高裕證券有限公司使用其個人資料經以下管道作直銷推廣 (請以“√”選擇管道):-

Electronic Channels 電子管道  Mail 郵件  Personal Call 專人電話

If the Client returns this Form without ticking any of the above boxes, it means that the Client does not wish to opt-out from any form of Gaoyu Securities Limited’s direct marketing. 如客戶沒有在以上任何方格內以“√”號顯示其選擇, 即代表客戶並不拒絕高裕證券有限公司任何形式的直銷推廣。



Acknowledgement and Declaration by Client 客戶聲明及簽署


- I/We confirm that the client identification data provided to Gaoyu Securities Limited is in accordance with the priority requirement required by the regulators. 1st priority: HKID Card, 2nd priority: National identification document, 3rd priority: Passport. 本人/吾等確認本人/吾等提供給高裕證券有限公司客戶識別信息符合監管要求的身分證明文件類別的優先次序。第一優先: 香港身份證, 第二優先: 國民身分證明文件, 第三優先: 護照。
- I/We have read the Client Agreement and Risk Disclosure Statement of Gaoyu Securities Limited.; 本人/吾等已詳細閱讀高裕證券有限公司提供的客戶協議以及的風險披露聲明;
- Gaoyu Securities Limited has explained to me/us the contents of Client Agreement and Risk Disclosure Statement provided by Gaoyu Securities Limited, and I/We have understood and agreed that the Client Agreement will be either in English or Chinese as selected by me/us; 高裕證券有限公司已詳細給本人/吾等解釋由高裕證券有限公司提供的有關客戶協議書以及香港的風險披露聲明的內容, 而本人/吾等已明白並同意客戶協議書以中文或英文書就 (具體的協議語言以本人屆時的選擇為準);
- If I/We choose to use the Application Programming Interface (“API”) provided by Gaoyu Securities Limited, it means that I/We confirm that I/We have understood and read the guidelines and related documents provided on the Gaoyu Securities Limited website and have a deep understanding of electronic trading. Besides, I/We confirm that I/We are aware of and fully understand the compliance and regulatory issues related to electronic trading; 如果本人/吾等選擇使用高裕證券有限公司提供的程序化交易的接口 (“API”), 則表明本人/吾等確認本人/吾等有留意並已閱讀在高裕證券有限公司網頁上提供的指引及相關文件, 並對程序化交易有做深入的了解, 以及本人/吾等確認本人/吾等有留意並充分了解跟程序化交易相關的合規及監管問題;
- I/We have been given sufficient opportunity to confirm with Gaoyu Securities Limited’s representatives and consult with the legal counsel regarding the Client Agreement and Risk Disclosure Statement; 高裕證券有限公司已給與本人/吾等足夠的機會去向高裕證券有限公司的代表查詢以及就高裕證券有限公司的客戶協議書以及風險披露聲明征詢本人的法律顧問的意見;
- I/We have obtained the Client Agreement and Risk Disclosure Statement provided by Gaoyu Securities Limited. I have confirmed that I am trading on my own. I also understand that when Gaoyu Securities Limited calculates the balance of my account, there is an opportunity for Gaoyu Securities Limited to do offset using the payable amount from me/us and payable amount from Gaoyu Securities Limited;

本人/吾等已拿取高裕證券有限公司的客戶協議書以及風險披露聲明。本人已確認本人是自己在戶口進行交易，本人亦清楚明白當高裕證券有限公司計算本人戶口的結餘時，高裕證券有限公司有機會將由高裕證券有限公司應繳付給本人/吾等的款項或由本人/吾等應繳付給高裕證券有限公司的款項互相抵銷；

- I/We have read and completed the account opening application and the additional questions about account opening provided by Gaoyu Securities Limited, and I/We agree that all the information I/We provided to Gaoyu Securities Limited is accurate and correct;  
本人/吾等已閱讀並完成開戶申請以及由高裕證券有限公司提供的有關開戶的額外問題，本人/吾等同意本人/吾等之前提供給高裕證券有限公司的所有資料是正確的；
- I/We have agreed to sign other additional documents, including but not limited to Risk Disclosure Statement and other relevant documents, by electronic signature when opening the account or at other times;  
本人/吾等已同意以電子簽名的方式在開立戶口時或其他時間去簽署其他額外文件，包括但不限於風險披露聲明以及其他相關的文件；
- I/We want to use a cash account and/or margin account with electronic trading services, I/We have read and understood and agreed to accept the Client Agreement (including its appendix, schedule or additional terms of cash account and/or margin account) which may be amended from time to time;  
本人/吾等欲使用附電子交易服務現金賬戶及或保證金賬戶，本人/吾等已閱讀及清楚明白並同意接受可不時被修改的客戶協議書（包括其附件、附表、或其現金賬戶及或保證金賬戶之附加條款）；
- Regarding margin (and or cash) accounts, I/We also reiterate that Gaoyu Securities Limited or its agent has been authorized by me/us to contact my/our banks, brokers and any credit counseling agencies at any time for the purpose of assessing my/our credit.  
當涉及到保證金(及或現金)賬戶時，本人/吾等亦重申高裕證券有限公司或其代理人，已獲本人/吾等授權，可隨時聯絡本人/吾等之銀行、經紀及任何信貸諮詢機構，以作評核本人/吾等信貸之用。
- I/We shall apply to Gaoyu Securities Limited in accordance with Securities and Futures (Client Money) Rules (Chapter 571I of the Hong Kong Laws) & Securities and Futures (Customer Securities) Rules (Chapter 571H of the Hong Kong Laws) and Client Agreement (including its appendix and schedule). A permanent authorization is issued to authorize Gaoyu Securities Limited to deal with the assets in my/our account and the securities pledged to Gaoyu Securities Limited in accordance with the aforementioned laws and agreements.  
本人/吾等根據《證券及期貨(客戶款項)規則》(香港法例571I章)、《證券及期貨(客戶證券)規則》(香港法例571H章)及客戶協議書（包括其附件和附表）向高裕證券有限公司做出常設授權，授權高裕證券有限公司按照前述法例及協議處理本人/吾等的賬戶內的資產和抵押予高裕證券有限公司的證券。

If there is any discrepancy between the English version and Chinese version, the English version shall prevail.

<b>Primary Applicant's Signature 第一申請人簽署:</b> 	<b>Secondary Applicant's Signature 第二申請人簽署:</b> 
Name 姓名:	Name 姓名:
Date 日期:	Date 日期:

<b>Witness Declaration and Signature 見證人簽署</b> I declare and confirm that the account opening documents are executed by the client in my presence and the original of client's identity documents has been checked.本人聲明及確認開戶文件是由客戶在本人面前簽立，並已核對客戶身份證明文件原件。 Signature of Witness 見證人簽署: 	<b>Witness Declaration and Signature 見證人簽署</b> I declare and confirm that the account opening documents are executed by the client in my presence and the original of client's identity documents has been checked.本人聲明及確認開戶文件是由客戶在本人面前簽立，並已核對客戶身份證明文件原件。 Signature of Witness 見證人簽署: 
Name of Witness 見證人姓名:	Name of Witness 見證人姓名:
Witness's Nature 見證人類別:	Witness's Nature 見證人類別:
Witness's Certificate no. 見證人編號:	Witness's Certificate no. 見證人編號:
Date 日期:	Date 日期: